### IN THE UNITED STATES DISTRICT COURT FOR THE MIDDLE DISTRICT OF ALABAMA NORTHERN DIVISION

THOMAS OTTER ADAMS (100612), Plaintiff,	)
v.	) ) 2:06-cv-873-ID
WARDEN GWENDOLYN MOSLEY, Et al	) ) )
Defendants.	)

### AMENDED SPECIAL REPORT

Come now the Defendants, **Gwendolyn Mosley**, **Lewis Hulett**, **Joel Tew, Daron Fayson, and Angela Brown**, by and through the Attorney General for the State of

Alabama, and hereby amend their special report due to additional information being

obtained as a result of this Court's request dated July 25, 2008:

### EXHIBITS<sup>1</sup>

Defendants submit the following exhibits:

- Exhibit I August 10, 2006 (9:30 a.m.) disciplinary report (Adams pushed Officer Tew)
- Exhibit J August 10, 2006 (9:00 a.m.) disciplinary report (Officer Tew confiscated various contraband items from Adams, including paint brushes and pastels)

#### **PLAINTIFF'S ALLEGATIONS**

According to Inmate Adams, on August 10, 2006, he was attacked by Officer Fayson, Officer Tew, Officer Brown and Sergeant Hulett. (Inmate Adams' complaint)

The Defendants incorporate by reference all exhibits previously filed by the defendants in this cause.

Inmate Adams insinuates that he was attacked by the Officers because he is the jailhouse lawyer in the case of Ricky Davis v. Sergeant Bryant, et al. (See id., attachment-pg. 2)

### ARGUMENT OF FACT AND LAW

This Court should enter a summary judgment in favor of the Defendants on the grounds that: the Plaintiff's excessive force claim is meritless and the Defendants are protected by sovereign and qualified immunity.

### Statement of Facts

On August 10, 2006, Inmate Adams informed Officer Fayson that he was having chest pains. (Exhibit A, pg. 1) Officer Fayson informed Sergeant Hulett that Inmate Adams was having chest pains. See id. Sergeant Hulett asked Officer Fayson to escort Inmate Adams to the lobby. See id. Officer Brown and Officer Fayson escorted Inmate Adams to the lobby. See id.

While Inmate Adams was sitting in the lobby, Officer Joel Tew approached him and asked him to sit in the wheelchair. (Exhibit B, pg. 1) Inmate Adams proceeded to kick the wheel chair and stated: "Ya'll ain't going to do anything for me. Ya'll want me dead. Ya'll are making a big deal out of some pastel pencils and some pills." See id.; (Exhibit J) Inmate Adams refused to get in the wheelchair and started to walk towards the front door exit. (Exhibit B, pg. 2.) Officer Tew grabbed Inmate Adams and ordered him to stop and sit down in the wheelchair. See id. Inmate Adams charged at Officer Tew and pushed him against the wall. See id.; (Exhibit I) To protect himself, Officer Tew placed Inmate Adams on the floor. See id. After a struggle, Officer Brown, Officer Tew, and Sergeant Hulett placed Inmate Adams in the wheelchair and escorted him to the Health Care Unit. See id.

### Plaintiff's claims of excessive force are without merit.

Inmate Adams contends that Officer Tew "brought a wheelchair in, began to berate [him], and said, "Get there (H.C.U.) the best way you can Adams." At this point, "[Inmate Adams] became scared, frightened, and *defensive*. [Inmate Adams] stood up to walk from the segregation unit to the H.C.U., and was seized, grasped and apprehended by COI Tew, picked up, and then body-slammed to the floor of the lobby, on [his] back, hand-cuffed behind [his] back, the breath was knocked out of [him]. [Inmate Adams] was then picked-up by Officers Tew, Fayson [and] Brown, assisted then by seg. Commander Sgt. Lewis Hulett." (Inmate Thomas's complaint, pg. 3)(emphasis added).

The Supreme Court follows a two-part test to determine whether a plaintiff has established a valid excessive force claim. Hudson v. McMillian, 503 U.S. 1, 20 (1992). This test is composed of both an objective and subjective component. Id. Inmate Adams' excessive force claim cannot be maintained unless he establishes: (1) that he suffered an injury that was objectively serious enough to establish a constitutional violation, and (2) that subjectively, the Defendants acted maliciously or sadistically to cause him harm. Id.

### A. Objectively serious injury

Inmate Adams contends that his wrist and back hurt as a result of the actions of the Defendants. On August 11, 2006, Inmate Adams has X-rays performed. The X-rays showed no recent fracture or "other significant bony abnormality." (Exhibit G, pg. 1) The X-rays also showed no rib fracture. See id. Although Inmate Adams had to wear a wrist splint for two weeks, any injury to his wrist was caused by him when he defied the Defendants' request to sit down in the wheelchair so that he could be taken to the health

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care unit. (Exhibit F, pg. 1-4) If Inmate Adams had not become defensive <sup>2</sup> and resisted the Defendants' direct orders to sit down and go to the health care unit, he would not have incurred an injury to his wrist. Furthermore, this alleged injury to Inmate Adams' wrist was minor; he only had to apply ice and wear a splint for two weeks. Courts of appeal have observed that injuries resulting from a similar usage of force alleged by Inmate Adams are too minor to support the objective prong of the excessive force test. See Markiewicz v. Washington, 1999 WL 196596 (7th Cir. 1999) (A bruised shoulder from being shoved into a wall does not evidence use of excessive force.); Siglar v. Hightower, 112 F.3d 191 (5th Cir. 1997) (A sore, bruised ear lasting three days does not evidence use of excessive force.); Riley v. Dotson, 115 F.3d 1159 (4th Cir. 1997) (A welt from a slap on the face does not evidence use of excessive force.); Williams v. Dehay, 1996 WL 128422 (4th Cir. 1996) (Transitory back and shoulder aches of limited duration do not evidence use of excessive force.); Schoka v. Swinney, 1995 WL 251126 (9th Cir. 1995) (A 1.5 inch scratch on the back of the hand from handcuffs does not evidence use of excessive force.); Lundsford v. Bennett, 17 F.3d 1574 (7th Cir. 1994) (Daily headaches without treatment, from being hit with a water bucket, does not evidence use of excessive force.); Norman v. Taylor, 25 F.3d 1259 (4th Cir. 1994) (A sore and swollen thumb from being hit with keys does not evidence use of excessive force.).

Document 35

As for Inmate Adams' claim that he still has back pain, he admits that he has been informed that his back pain is due to arthritis. (Inmate Adams' complaint – attachment, pg. 2) This opinion is supported by Inmate Adams' X-ray results which state: "There is

<sup>&</sup>lt;sup>2</sup> Inmate Adams, himself, admitted that he became defensive when ordered to sit in the wheelchair so that he could be taken to the healthcare unit. (Inmate Adams's complaint, pg. 3)

slight disc space narrowing identified at L4-L5 and L5-S1. Mild hypertrophic change is noted at L4-L5." (Exhibit G, pg. 1) The Defendants can not be held responsible for the arthritis in Inmate Thomas' back. Inmate Adams' wrist injury is de minimis and his alleged back pain is caused by arthritis; therefore, Inmate Thomas' excessive force claim is without merit.

### B. Maliciously or sadistically

Even if, arguendo, this Court were to conclude that Inmate Adams had indeed incurred objectively serious injuries at the hands of the Defendants, Inmate Adams' claim would still be without merit because he has not shown that the Defendants acted maliciously and sadistically to cause him harm. See Bozeman v. Orum, 422 F. 3d 1265, 1271 (11th Cir. 2005) quoting Brown v. Smith, 813 F.2d 1187, 1188 (11th Cir. 1987)("whether or not a prison guard's application of force is actionable turns on whether that force was applied in a good faith effort to maintain or restore discipline or maliciously or sadistically for the very purpose of causing harm"). The Supreme Court has set out five factors to determine whether or not a defendant acted maliciously or sadistically to cause harm: (1) the extent of the injury suffered, (2) the need for the application of the force, (3) the relation between the need for force and the amount of force actually used, (4) the threat reasonably perceived, and (5) any efforts to temper the severity of a forceful response. Hudson, 503 U.S. at 7.

Applying the Hudson factors to this case, the extent of the injury suffered by Inmate Adams was minimal, a sprained wrist. The application of force was an appropriate response to Inmate Adams' resistance to a direct order to sit down. The force was needed to get Inmate Adams to obey a direct order to sit down. Inmate Adams'

refusal to sit down, as ordered, can be perceived as a serious threat to the health and safety of the officers in the direct vicinity of the inmate. Because the Defendants exerted just enough force to restore order and discipline and not maliciously and sadistically to cause Inmate Adams harm, Inmate Adams' excessive force claim is without merit and due to be dismissed. See McReynolds v. Ala. Dept. of Youth Services, 426 F. Supp. 1247, 1255 (M.D. Ala. 2006)("[A]bsent a showing of specific intent, the 'infliction of pain in the course of a prison security measure does not amount to cruel and unusual punishment . . . ")

### This Court should enter a summary judgment for the Defendants because the Plaintiff's claims are not supported by sufficient evidence.

Rule 56 of the Federal Rules of Civil Procedure, provides that summary judgment shall be entered "if the pleadings, depositions, answers to interrogatories, and admissions on file, together with the affidavits, if any, show that there is no genuine issue as to any material fact and that the moving party is entitled to a judgment as a matter of law." When the movant makes a properly supported motion for summary judgment, the nonmoving party must provide specific facts showing the existence of a genuine issue of material fact for trial. See Fed. R. Civ. P. 56(e); Anderson v. Liberty Lobby, Inc., 477 U.S. 242, 250 (1986); Celotex Corp. v. Catrett, 477 U.S. 317, 324 (1986). The nonmoving party may oppose the motion with any of the types of evidentiary materials listed in Rule 56(c) of the Federal Rules of Civil Procedure, except for its pleadings. Celotex, 477 U.S. at 324. The nonmoving party may not rest merely on its pleadings in opposing the motion. See F.R.Civ.P. 56(e); Celotex, 477 U.S. at 324. Summary judgment is properly entered when the party who will bear the burden of proof at trial

fails "to make a showing sufficient to establish the existence of an element essential to that party's case." Celotex Corp. v. Catrett, 477 U.S. at 322.

As previously stated. Inmate Adams failed to produce any evidence that he was maliciously or sadistically beaten by prison officials. Because Inmate Adams has failed to produce evidence that the Defendants used excessive force with malicious intent. Inmate Adams's complaint is due to be DISMISSED.

### Defendants Are Immune from Suit.

To the extent that the Defendants are sued in their official capacity, they are immune from liability. The Eleventh Amendment to the United States Constitution provides that "[t]he judicial power of the United States shall not be construed to extend to any suit in law or equity, commenced or prosecuted against one of the United States by citizens of another state, or by citizens or subjects of any foreign state." The Amendment, therefore, not only bars suits against a state by citizens of another state, but it also bars suits against a state by that state's own citizenry. See Edelman v. Jordan, 415 U.S. 651, 663 (1974); Hans v. Louisiana, 134 U.S. 1, 13-15 (1890).

The Eleventh Amendment also prohibits suit against state officials and employees where the state is the real, substantial party in interest. See Pennhurst State School & Hospital v. Halderman, 465 U.S. 89, 101-02, 104 (1984). "For example, if a lawsuit seeks to order the state officer to pay funds directly from the state treasury for the wrongful acts of the state, then the state is the real party in interest and the Eleventh Amendment bars the suit." Summit Medical Associates, P.C. v. Pryor, 180 F.3d 1326, 1336 (11<sup>th</sup> Cir. 1999). This suit is in reality a suit against the State. Thus, the Defendants are absolutely immune.

Furthermore, Inmate Adams has made no specific claims against Warden Gwendolyn Mosley. The claims against Warden Mosley are, therefore, due to be dismissed on the ground that there is no respondent superior liability in actions brought under 42 U.S.C. § 1983. See Dean v. Barber, 951 F.2d 1210 (11th Cir. 1992).

### **CONCLUSION**

**WHEREFORE**, the above-cited facts and law are considered, the Defendants move this Honorable Court to DISMISS the Plaintiff's complaint.

Respectfully Submitted,

Troy King (KIN047) Attorney General

/s/Bettie J. Carmack
Bettie J. Carmack (CAR-132)
Assistant Attorney General

### **CERTIFICATE OF SERVICE**

I hereby certify that I have, this the 12th day of August, 2008, served a copy of the foregoing upon the Plaintiff, by placing same in the United States Mail, postage prepaid and properly addressed as follows:

Inmate Thomas Otter Adams
AIS # 100612
5B-12 Segregation Unit
Easterling Correctional Facility
200 Wallace Drive
Clio, AL 36017

/s/ Bettie J. Carmack

Bettie J. Carmack (CAR-132) Assistant Attorney General Office of the Attorney General 11 South Union Street Montgomery, AL 36130 Telephone: (334) 353-5305

Facsimile: (334) 242-2433

# **EXHIBIT I**

## ALABAMA DEPARTMENT OF CORRECTIONS DISCIPLINARY REPORT

DISC. #06-594

l.	INMATE: _	Thomas Adams	CUSTODY:	Med.	AIS NO.: _	W/100612
2.	FACUITY: Es	sterling Correctional	Facility		***	
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4.		of the violation(s) are as		Ü		
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9.	If yes, list: O	fc. FAYSOU, ofc.	Brown MOSE	t. Hulet		
10.	Hearing Date _	9-29-06	Time 1:15 pm	·	Place Seg_(	Office
11.	Inmate must be	present in Hearing Room	n. If he is not preser	t explain in	n detail on additional p	nage and attach.
12.	A finding is ma	ide that inmate (is) is no	t) capable of represe	nting himse	elf.	•
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# ALABAMA DEPARTMENT OF CORRECTIONS NOTICE OF POSTPONEMENT OF DISCIPLINARY HEARING

Inmate's Name: Thumas Adams AIS #: 100612.
Violation(s): #29, 56, 64
Notice is hereby given that your Disciplinary hearing which was scheduled on Aveuer 24, 2004 has been rescheduled for UNTIL FUNTREE NOTICE
Reason for rescheduling: ARRESTING OFFICER NOT AVAILABLE
Inmate's Signature  Inmate's Signature  Serving Officer's Signature

### STATE OF ALABAMA DEPARTMENT OF CORRECTIONS MENTAL HEALTH SERVICES

### MENTAL HEALTH CONSULTATION TO THE DISCIPLINARY PROCESS

STEP 1: ARRESTING OFFICER Offense: RV# 39- ANSAULT on an ASROC Official Today's Date: 9/11/06
Inmate Name: Homoo Mary AIS# 1006/2 Institution: Castaling C. F. Is the inmate currently on the mental health caseload? Yes? (go to step 3) No? (next question)
If No, did you observe signs of psychological distress during the incident requiring a mental health referral?  Yes? (go to step 3) No? (go to step 2)
Name of Arresting Officer: July 1007 Shift:  S  Date of Incident: 8 10 06
STEP 2: HEARING OFFICER  Hearing officer must refer the inmate for mental health consultation if the inmate appears unable to understand what the charge is and what might happen as a result of the charge or the inmate appears unable to actively participate in the hearing as suggested by NO to any of the following:
<ul> <li>Inmate knows where he is?</li> <li>Inmate knows why he is seeing hearing officer?</li> <li>Inmate knows the date?</li> <li>Inmate is appropriately dressed</li> <li>Inmate is appropriately dressed</li> <li>Inmate makes sense?</li> <li>The inmate's statements are logical and organized?</li> </ul>
Should the inmate be referred for mental health evaluation of competency? Yes (go to step 3)  No (go to step 4)  Name of Hearing officer: Yeshanu Young Dz. Referral Date:
STEP 3: MENTAL HEALTH STAFF
Date requested consult received: G/1/06 Date consult returned: G/15/06  Is the inmate competent to participate in the hearing?  Yes No
If No, why is the inmate not competent?
If No, what treatment will assist the inmate in becoming competent?
Are there mental health issues that may have impacted inmate's behavior at the time Yes No of the charge? If yes, describe the issues:
Are there mental health issues to be considered regarding disposition if found guilty? Yes No.  If yes, describe the issues and the relation to the disposition:
Do mental health staff members wish to be present at the disciplinary hearing to provide input? Yes Montal Health Staff Member: \(\begin{array}{c} \mu\ellipset{\text{J}} \\ \
STEP 4: REVIEW  Have the mental health recommendations been considered?  Ves No.
Have the mental health recommendations been considered?  Hearing Officer: Many Many Date: 9-19-06
V

INMATE NAME:

AIS#: 100612

Disposition: Inmate Medical Record and Institutional Inmate file

Reference: ADOC AR: 623, 626, 632, 633, 635 ADOC Form MH-041 - November 14, 2005

avers 14, 2006

PE: Warrion & RULE #29 STATEMENT, PURSUANT DO ITE, II G, H, ADMIN. DEC. 403 TO THE HEAPING OFFICET Durly Wille

I AM YHOMAS ADAMS-100GR, CAPABLE AND ABLE DE MAKE YHIS STATEMENT, I AM OUTH 21 YRS old, THIS STATEMENT 15 MADE PURSUANT 40 28 USC \$1746 €),

COHORQING ME WITH REVE # 29 VIOLATION IS

AN ATTEMPT BY COI DEL TED TO COVER-LEP

OR CONDERL THE CHARGE of African

MIS-CONDUCT, I.E. ASSAULT, EXCESSIVE

FORCE.

MANU CACTURED AND PUBLICATED OF LIE, TO AUDID AN INVESTIGATION, REPRIMANDS TO AUDID AN INVESTIGATION, REPRIMANDS ETC. TO THE CHARGE OF EXCESSIVE FORCE.

CONSPIRED WITH SQT. HUBTT, COLFAYSON, AND COLBROWN TO COVER-UP WAS INCIDENT AND TO RETURNATE ACAINST ME.

COITEW IS A VETERAN CORPECTIONAL

PEMAINS NA YOR RANK of COL, TOTAL YOU IS TRAINED & SKILLED AT PRODUCING a decopaint of disciplinary charges and reports, a polygraph yest will prove the truth of this ALEGATION.

COI FAYSON AND COI BROWN APE DEFENDANTS IN CICIL ACTION- 2:05-CU-D352, MHT, - THOMAS ADAMS US. CAENDOLYD MOSLEY, ET. AL., MIDDLE DISTRICT, ALABAMA.

IN THE SAME ACTION I HOUSE PERIOSED OF THE FOREIGN COURT TO BE ALLOWED TO AND, SET, LEWIS HULETT COI DEL TED.

1.E. FAILURE TO TRANIO AND SUPERIUSE,

ASSAULT, EXCESSIVE FORCE, VERDAL MOUSE,

INTIMIDATION AND THREATS.

THIS INMITE WAS complaining of Chest pains, being common among people who've had by-pass surgory and stents in the heapt. I had been removed from CETL 5B-16 And was sitting in the Lobby of 5 Dorm.

DACK, by CDI FAYSON.

THE PRISON HONGER STRUICES PLOUIDES
THE MINIMUM EFFORT DE ME AS A
CONDNIPH! MEART PARTEM (2)

AT THIS TIME ON 8-10-2004, I WHO
VERY WEAK MAVING TOKEN 4-5
NITHOPHYCERIN THOLETS, USP, 0.4 Mg
in A fifteen to Turny MINUTE
WHIT.

(further minthin what SET. HUETT, COI FRY-TOWN, CUT BROWN STOWN BY AND WITNESSED THIS EVENT, ENDWINE, DETTEN, GUBLING, DETTEN, FIXILED 40 TOKE ANY MOTIONS) TO SUPPLIED TOWN, FROM SLAMMING ME IN THE SEQ. COUDLY FLOOR, CAUSING ADMASIONS TO MY BACK, DEVISING RIDS, I AM NOW ORDERED BY A DR. TO WEAR A SPINT IN MY R. WRIST, WHICH WAS K-RAYED IN 8-11-ZOOGE AT E.C.F.

ENCH & MESE PERSONS PRE WELL

AWARE HART IAM WHIS PERSONS POPORATED TO

AS A "SMILHOUSE LAWYER, WART I

PILED FOR WITH PICKY DAVIS - 173073.

US. GUENDOLYN MOSLEY, WARDEN III,

ET. AL. - CASE NO. 2006-CV. 10- MET

CHISTRICA COURT / MIDDLE- ALMBAMA, MO

WHICH SQT. PODERT BRY ANT, HOPC.

380 SHIRT E.C.F. WAS DOON GITHER

FIRED, PESIQUED, OR DE MOTED AND

TRANS PEREOD FOR EXCESSIVE FORDE.

SQF. ERYANT WAS A FEROW CFLICTION OF COITEW, COI FAYSON, COI BACKEN. OND SET. HELTOT, I WHE LETTER ASSAULTED DOC PERSONNER OF ANY RANK OR POSITION, PEQUANDLESS of RACE, RELIGION ON GENERAL.

I did not ASSAULT, ASSAULT, ETRIKE, ADVANCE, OR DY ANY OFFER YEARN OR OLEFINITION WARM OR FLOOR ATTEMPT TO WARM COI DEL TELD.

HOLER HATE POXIMITY OF PORJURY, MONE HOLES 14 DAY of NUGUET, ZODG

THOMAS DELONIES

THOMAS ADAMS - 100612

5B-16 SEE. UNIT.

ZOD WALLACE ORIES.

CLIO, ALABAMA

36017

### outhings to officer Brown

expices brown wine presont in the Lobby
on 8-10, Owning this incident?

If this is the date of the Incident Yes

African Brown - did you witness and/on
see is mate ADAMS posh COI TEW?

OFFICER BROWN - UNS IDENTE ADAMS WARD-CUFFED? BEHIND his back?

afficien Brown - WAS IDMATE ADAMS complaining of CHEST PAINS? YES

1 CONVEND HART HUMAND DELIGS ARE NOT VERY ACCURATE OR TRUTH FULL, KENT, A POLYGRAPH I'S MODE HAAN 95% ACCURATE.

IN ORDER TO DISCOVER WHO IS LYING AND WHO ISN'T, WILL YOU SUBMIT TO A POHYGRAPH TEST?

ADSOST 14, ZOTO

PURSUANT 40 ADMIN. REG. # 403 ; II D

SET. HULETT, DID INMATE ADAMS, PUSH, COI VEW, I did not see if

SET. HERETY DOD COI TEND YELL INMATE ADAMS, "GET YHERE YHE BEST WAY YOU COAN!!! I didn't hear that.

SET. HELETT. DID lamate ADAMS WALK 40 VAE SEGREGATION DOOR? YES

COITEU? I was in the Office.

COI FAYSON. LUTS WOMATE ADAMS AT

ALL TIMES HAND-CUTTED? BEHIND

NIS BACK? We put them to the front when

we got to the lobby

COI FAYSON. DID COI TEW SLAM IMMATE

ADAMS TO THE SEGREGATION PLOOP?

I have no knowledge.

COI FAYSON. DID YOU help/ ASSIST IN

picking lumité ADAMS up from the Glora? (1) no I was in the Office

# **EXHIBIT J**

DISC. #06-596

### ALABAMA DEPARTMENT OF CORRECTIONS DISCIPLINARY REPORT

CUSTODY: Med. AIS NO.: W/100612 **INMATE: Thomas Adams** 1. FACILITY: Easterling Correctional Facility 2. 3. The above named inmate is being charged by Joel Tew, COI with violation of rule #64, specifically Possession of contraband, from regulation #403, which occurred on or about August 10, 2006 at (time) 9:00 AM, Location: Segregation, cell 5B-16. A hearing on this charge will be held after 24 hours from 4. Circumstances of the violation(s) are as follows: You, Inmate Thomas Adams, W/100612, did have 4-pieces of razor blade, 1-double bladed pencil sharpener, 1-state issued belt buckle, 1-bandaid, 10-rolls of string, 1-box of used artist pastels (chalk crayons), 3-pieces of ruler, 1-inmate made artist brush, and 6-600mg Lopid pills, that were found and confiscated by Officer Tew during a shakedown. You admitted to Officer Tew that the items were yours. 5. August 10, 2006 Arresting Officer / Signature / Rank Date Joel Tew, COI I hereby certify that I have personally served a copy of the foregoing upon the above named inmate and I 6. informed inmate of his right to present a written or oral statement at the hearing and to present written questions for the witnesses on this the \[ \lambda \] day of \[ \frac{\text{Pull}}{2} \], 2006, at (time) \[ \frac{125 \delta}{2} \] \(\text{am/pm}). 7. Witnesses desired? NO YES Africa OD Inmate's Signature Inmate's Signature Inmate's Signature Gary Christopher 8. 9. Hearing Date 9-29-66 Time 2:25 pm Place Seg Office 10. Inmate must be present in Hearing Room. If he is not present explain in detail on additional page and attach. 11. A finding is made that inmate (is) is not) capable of representing himself. 12. 190612 Plea: Thomple Channe Not Guilty 13. The Arresting Officer, Inmate, and all witnesses were sworn to tell the truth. 14. Arresting Officer's testimony (at the hearing): On the day in question, Irmate Adams had in his possession, four pieces of razor blades, one double edged pencil sharpener, one state issued belt buckle, one Band-Aid, ten rolls of string, one box of used Artist pencils. (crayons), three pieces of a ruler, one inmate-made Artist brush, and six 600mg Lopid pills that were found during a routine shakedown.

<del>/- </del>	
Witness: Ar from conducted a routine shakedown	Substance of Testimony: Officer Tew and myself and confiscated the items.
	Substance of Testimony: I was not there when
Witness: N/A	Substance of Testimony: N/A
The inmate was allowed to submit writte attached.	en question to all witnesses. Copy of questions and answers are    Column Col   Signature / Hearing Officer
The Fallenian with a second of the	
The Following witnesses were not called  1. Inmate Gary Christopher	Waived from hearing by defendant
2. <b>N/A</b> 3.	N/A
The Hearing Officer finds that: On Officer 1 to 1 t	Officer makes the following findings of fact: (Be Specific) 8/10/06, at approximately 9:00 AM, in Segregation, s, W/100612, did have in his possession, four (4) double edged pencil sharpener, one (1) state issued
Cell 5B-16, Immate Thomas Adams pieces of razor blade, one (1) belt buckle, one (1) Band-Aid, pastels (crayons), three (3) pi Basis for Finding of Fact: Officer 3 shakedown of Cell 5B-16, and for edged pencil sharpener, one (1)	8/10/06, at approximately 9:00 AM, in Segregation, s, W/100612, did have in his possession, four (4) double edged pencil sharpener, one (1) state issued ten (10) rolls of string, one (1) box used Artist (eces of rule, one (1) inmate-made Artist brush, and loel Tew stated under oath that he conducted a routine bund four (4) pieces of razor blade, one (1) double-
Cell 5B-16, Immate Thomas Adams pieces of razor blade, one (1) belt buckle, one (1) Band-Aid, pastels (crayons), three (3) pi Basis for Finding of Fact: Officer 3 shakedown of Cell 5B-16, and for edged pencil sharpener, one (1)	8/10/06, at approximately 9:00 AM, in Segregation, s. W/100612, did have in his possession, four (4) double edged pencil sharpener, one (1) state issued ten (10) rolls of string, one (1) box used Artist deces of rule, one (1) inmate—made Artist brush, and loel Tew stated under oath that he conducted a routine bund four (4) pieces of razor blade, one (1) double—1 state issued belt buckle, one (1) Rand—Aid, ten (10) sed Artist pastels (crayons), three (3) pieces of ruler X Guilty
Cell 5B-16, Inmate Thomas Adams pieces of razor blade, one (1) belt buckle, one (1) Band-Aid, pastels (crayons), three (3) probable for Finding of Fact: Officer shakedown of Cell 5B-16, and for edged pencil sharpener, one (1) rolls of string, one (1) box us Hearing Officer's Decision:	8/10/06, at approximately 9:00 AM, in Segregation, s, W/100612, did have in his possession, four (4) double edged pencil sharpener, one (1) state issued ten (10) rolls of string, one (1) box used Artist feces of rule, one (1) inmate—made Artist brush, and foel Tew stated under oath that he conducted a routine four (4) pieces of razor blade, one (1) double—least issued belt buckle, one (1) Rand—Aid, ten (10) sed Artist pastels (crayons), three (3) pieces of ruler
Cell 5B-16, Immate Thomas Adams pleces of razor blade, one (1) belt buckle, one (1) Band-Aid, pastels (crayons), three (3) pr Basis for Finding of Fact: Officer 3 shakedown of Cell 5B-16, and for edged pencil sharpener, one (1) rolls of string, one (1) box us Hearing Officer's Decision:  Recommendation of Hearing Officer:	8/10/06, at approximately 9:00 AM, in Segregation, s. W/100612, did have in his possession, four (4) double edged pencil sharpener, one (1) state issued ten (10) rolls of string, one (1) box used Artist feces of rule, one (1) inmate—made Artist brush, and foel Tew stated under oath that he conducted a routine found four (4) pieces of razor blade, one (1) double—  I state issued belt buckle, one (1) Rand-Aid, ten (10) sed Artist pastels (crayons), three (3) pieces of ruler  X Guilty  Not Guilty  45 Days Disciplinary Segregation and loss of all
Cell 5B-16, Inmate Thomas Adams pieces of razor blade, one (1) belt buckle, one (1) Band-Aid, pastels (crayons), three (3) pr Basis for Finding of Fact: Officer . Shakedown of Cell 5B-16, and for edged pencil sharpener, one (1) rolls of string, one (1) box us Hearing Officer's Decision: Recommendation of Hearing Officer: privileges.	8/10/06, at approximately 9:00 AM, in Segregation, s, W/100612, did have in his possession, four (4) double edged pencil sharpener, one (1) state issued ten (10) rolls of string, one (1) box used Artist feces of rule, one (1) inmate—made Artist brush, and foul Tew stated under oath that he conducted a routine four (4) pieces of razor blade, one (1) double—state issued belt buckle, one (1) Rand—Aid, ten (10) sed Artist pastels (crayons), three (3) pieces of ruler X Guilty  Not Guilty
Cell 5B-16, Inmate Thomas Adams pieces of razor blade, one (1) belt buckle, one (1) Band-Aid, pastels (crayons), three (3) pr Basis for Finding of Fact: Officer . Shakedown of Cell 5B-16, and for edged pencil sharpener, one (1) rolls of string, one (1) box us Hearing Officer's Decision: Recommendation of Hearing Officer: privileges.	8/10/06, at approximately 9:00 AM, in Segregation, s, W/100612, did have in his possession, four (4) double edged pencil sharpener, one (1) state issued ten (10) rolls of string, one (1) box used Artist feces of rule, one (1) inmate—made Artist brush, and foul Tew stated under oath that he conducted a routine four (4) pieces of razor blade, one (1) double—state issued belt buckle, one (1) Rand—Aid, ten (10) sed Artist pastels (crayons), three (3) pieces of ruler X Guilty  Not Guilty  45 Days Disciplinary Segregation and loss of all  Anthony Williams, COI
Cell 5B-16, Inmate Thomas Adams pieces of razor blade, one (1) belt buckle, one (1) Band-Aid, pastels (crayons), three (3) pr Basis for Finding of Fact: Officer . Shakedown of Cell 5B-16, and for edged pencil sharpener, one (1) rolls of string, one (1) box us Hearing Officer's Decision: Recommendation of Hearing Officer: privileges.	8/10/06, at approximately 9:00 AM, in Segregation, s, W/100612, did have in his possession, four (4) double edged pencil sharpener, one (1) state issued ten (10) rolls of string, one (1) box used Artist feces of rule, one (1) inmate-made Artist brush, and four Tew stated under oath that he conducted a routine bund four (4) pieces of razor blade, one (1) double-state issued belt buckle, one (1) Rand-Aid, ten (10) sed Artist pastels (crayons), three (3) pieces of ruler X Guilty  Not Guilty  Not Guilty  45 Days Disciplinary Segregation and loss of all
Cell 5B-16, Immate Thomas Adams pieces of razor blade, one (1) belt buckle, one (1) Band-Aid, pastels (crayons), three (3) pr Basis for Finding of Fact: Officer shakedown of Cell 5B-16, and for edged pencil sharpener, one (1) rolls of string, one (1) box us Hearing Officer's Decision:  Recommendation of Hearing Officer:  Privileges.  Inmate not earning good time.	8/10/06, at approximately 9:00 AM, in Segregation, s. W/100612, did have in his possession, four (4) double edged pencil sharpener, one (1) state issued ten (10) rolls of string, one (1) box used Artist feces of rule, one (1) inmate—made Artist brush, and foel Tew stated under oath that he conducted a routine found four (4) pieces of razor blade, one (1) double—least issued belt buckle, one (1) Rand—Aid, ten (10) sed Artist pastels (crayons), three (3) pieces of ruler X Guilty  Not Guilty  Not Guilty  45 Days Disciplinary Segregation and loss of all  Anthony Williams, COI
Cell 58-16, Immate Thomas Adams pieces of razor blade, one (1) belt buckle, one (1) Band-Aid, pastels (crayons), three (3) pieces for Finding of Fact: Officer Shakedown of Cell 58-16, and for edged pencil sharpener, one (1) rolls of string, one (1) box us Hearing Officer's Decision:  Recommendation of Hearing Officer: Orivileges.  Finnate not earning good time.  Warden's Action Date  Opproved  Obsapproved  Other (specify)  All Completes	8/10/06, at approximately 9:00 AM, in Segregation, s. W/100612, did have in his possession, four (4) double edged pencil sharpener, one (1) state issued ten (10) rolls of string, one (1) box used Artist deces of rule, one (1) inmate-made Artist brush, and loel Tew stated under oath that he conducted a routine ound four (4) pieces of razor blade, one (1) double-lead a state issued belt buckle, one (1) Rand-Aid, ten (10) sed Artist pastels (crayons), three (3) pieces of ruler X Guilty  Not Guilty  Not Guilty  45 Days Disciplinary Segregation and loss of all  Typed Name and Title  **Received**  **Rec
Cell 58-16, Inmate Thomas Adams pieces of razor blade, one (1) belt buckle, one (1) Band-Aid, pastels (crayons), three (3) pr Basis for Finding of Fact: Officer of Shakedown of Cell 58-16, and for sedged pencil sharpener, one (1) rolls of string, one (1) box us Hearing Officer's Decision:  Recommendation of Hearing Officer:  Orivileges.  Timate not earning good time.  Warden's Action Date  Original Adams  Warden's Action Date  Original Adams  Original Adams	8/10/06, at approximately 9:00 AM, in Segregation, s, W/100612, did have in his possession, four (4) double edged pencil sharpener, one (1) state issued ten (10) rolls of string, one (1) hox used Artist feces of rule, one (1) inmate—made Artist brush, and foel Tew stated under oath that he conducted a routine brund four (4) pieces of razor blade, one (1) double—state issued belt buckle, one (1) Rand—Aid, ten (10) sed Artist pastels (crayons), three (3) pieces of ruler X Guilty  Not Guilty  Not Guilty  45 Days Disciplinary Segregation and loss of all  Authory Williams, COI  Typed Name and Title
Cell 58-16, Immate Thomas Adams pieces of razor blade, one (1) belt buckle, one (1) Band-Aid, pastels (crayons), three (3) pieces for Finding of Fact: Officer Shakedown of Cell 58-16, and for edged pencil sharpener, one (1) rolls of string, one (1) box us Hearing Officer's Decision:  Recommendation of Hearing Officer: Orivileges.  Finnate not earning good time.  Warden's Action Date  Opproved  Obsapproved  Other (specify)  All Completes	8/10/06, at approximately 9:00 AM, in Segregation, s, W/100612, did have in his possession, four (4) double edged pencil sharpener, one (1) state issued ten (10) rolls of string, one (1) hox used Artist feces of rule, one (1) inmate-made Artist brush, and foel Tew stated under oath that he conducted a routine found four (4) pieces of razor blade, one (1) double-state issued belt buckle, one (1) Rand-Aid, ten (10) sed Artist pastels (crayons), three (3) pieces of rules X Guilty  Not Guilty  Not Guilty  45 Days Disciplinary Segregation and loss of all  Authory Williams, COI  Typed Name and Title

## ALABAMA DEPARTMENT OF CORRECTIONS DISCIPLINARY REPORT (OPTIONAL)

Inmate Name /AIS NumberThomas Ada	ms, W/100612	Incident Report No.	ECF-06-
Facility: Easterling Correctional F	acility	•	
CONTINUED ARRESTING OFFICER'S (AC (QBHO) TO ARRESTING OFFICER: N	) STATEMENT AND/OR	QUESTION BY HEAF	
		· · · · · · · · · · · · · · · · · · ·	
CONTINUED INMATE'S STATEMENT AND INMATE: N/A	)/OR QUESTIONS BY H	• -	вно) то
			<u></u>
	· 		
		•	
	· · · · · · · · · · · · · · · · · · ·		
CONTINUED WITNESS TESTIMONY (QBI	HO): N/A		
		·	
·			
•			
		,	
CONTINUED FINDINGS OF FACTS:	six (6) 600mg Lopid pills.	Therefore, Inmate Ad	ams was in violation of
Rule #64-Possession of Contraband.			
CONTINUED BASIS FOR FINDING OF FA	CT: one (1) inmate	-made Artist brush, and	d six (6) 600mg Lopid
pills. Also, Inmate Adams did admit to hearing	ng officer that he had the	Lopid pills in his posse	ssion (refer to
statement).			
	The state of the s		4490 AND

### STATE OF ALABAMA DEPARTMENT OF CORRECTIONS MENTAL HEALTH SERVICES

### MENTAL HEALTH CONSULTATION TO THE DISCIPLINARY PROCESS

STEP 1: ARRESTING OFFICER
Offense: RIV#64 - Possession of Cathaband Today's Date: 9/11/06
Inmate Name: Momas Adams AIS# 100612 Institution: Easter June C 9
Is the inmate currently on the mental health caseload? Yes? (go to step 3) No? (next question)
If No, did you observe signs of psychological distress during the incident requiring a mental health
referral? Yes? (go to step 3) No? (go to step 2)
Name of Arresting Officer: Wel Jew COI Shift: 13 Date of Incident: 8/10/06
Traine of the reading of the confidence of 1000 of
STEP 2: HEARING OFFICER
Hearing officer must refer the inmate for mental health consultation if the inmate appears unable
to understand what the charge is and what might happen as a result of the charge or the inmate
appears unable to actively participate in the hearing as suggested by NO to any of the following:
• Inmate knows whare he is? • Inmate knows why he is seeing hearing officer? • Inmate knows the date?
• Inmate is appropriately dressed • Inmate is able to speak coherently? • Inmate makes sense?
The inmate's statements are logical and organized?
Should the inmate be referred for mental health evaluation of competency? Yes (go to step 3)
No. (go to step 4)
Name of Hearing officer: Tenfunie House Referral Date:
STEP 3: MENTAL HEALTH STAFF, / / /
Date requested consult received: _G/1/6k Date consult returned: _G/16/6(
Is the inmate competent to participate in the hearing?  Yes No
If No, why is the inmate not competent?
If No, what treatment will assist the inmate in becoming competent?
Are there mental health issues that may have impacted inmate's behavior at the time Yes No
of the charge? If yes, describe the issues:
· · · · · · · · · · · · · · · · · · ·
Are there mental health issues to be considered regarding disposition if found guilty? Yes No
If yes, describe the issues and the relation to the disposition:
- 3 -07
Do mental health staff members wish to be present at the disciplinary hearing to provide input? Yes
Mental Health Staff Member: V , WA M5 Phone: 200
STEP 4: REVIEW
Have the mental health recommendations been considered?
Hearing Officer: (M) Henry (L), 110000 Date: 9-29-66
and a superior and the
$^{\prime\prime}$

INMATE NAME: Godans Thomas

AIS#: 100612

Reference: ADOC AR: 623, 626, 632, 633, 635 ADOC Form MH-041 - November 14, 2005

MOTIOTAL AND ANIA DEPARTA	Trans.
MOTICE OF POSTBOARD WILL	IENT OF CORRECTIONS T OF DISCIPLINARY HEADING
1 OST FUNEMEN	T OF THE COMMECTIONS
20.	OF DISCIPLINADA ***
IC. /HOMAC An.	THE ADIMO

Inmate's Name: THOMAS ADAMS AIS: W/1006/2 Violation (s): #2

Notice is hereby given that your Disciplinary hearing which was scheduled on Accust 24,2006 has been rescheduled for UNTIL FURTHER NOTICE

Reason for rescheduling: ARRESTING OFFICER NOT AVAILABLE

Annex D to AR 403

24 of 24

AR 403 January 30, 2003

RE: STATEMENT, RUESWANT 40 III E, II C, H ADMIN. REG. I 403 40 YHE HEMPING OFFICER CINHAY W. Illiams COI.

I AM THOMAS ADAMS-100612, CAPABLE AND ABLE TO MAKE THIS STATE MENT, I AM OVER 21 YRS. OID, THIS STATE MENT IS MADE PURSUANT TO Z8 USC. \$ 1746(2).

1 DID ADMIT TO COL TEW HART THESE ITEMS WERE MINE AND I STILL do. ON 6-28-06 I WAS THERE FROM SEG. UNIT TO TROY HOSPITHE AND THEKSON HOSPITHE.

AT MY ABSCENCE CO I FAY-ON ORDINED

THE (2) UNITES IN SBB TO PACK ALL

OF MY PROPERTY, WHICH THEY did,

ENCH OF THESE ITEMS WITE IN MY

PROPERTY THEN AND MAD BEEN FOR

SEVERAL MONTHS, ITEMS WHICH WERE

ACCOMPLIATED OVER MANY MONTHS

OF CONFINEMENT IN SEQUETION.

WITH THE EXCEPTION & 6-600 Mg.

COPID PILLS, FOR Cholesterd.

WHIS ALLEGED INCIDENT WAS/WED

OCCURED IN 8-10-2004 @ 9:00 AM

STATE NONE CONT. - RUE #64

I MAINTAIN THAT AS OF THE DIFFE OF THIS FILING THERE MUTE DOEN NO ENCOSESIVE SWAKE GOVEN'S, by A 1ST SHIFT officer on 5 Dorm B SIDE.

I FORTHER MINISTEN VOLKE YOUR GONE OF YHIS CONSPIRACY HAS BEEN ACCOMPLISHED, YOUR YMERE IS NO FURTHER WHENT, EXCEPT TO HARASS THIS DAINHOUSE LAWYER.

I conteno that when I serived Dxck AX E.C.F. in 7-7-2006, WAS purced back in SEGREGIATION, cell 5B-16, And EACH PIECE OF PROPERTY HALT IS NOW CISTED AS "CONTRABAND" by CO I TEW WAS givEN Duck to ME by AN OFFICER. THERE WIS NO RECIOPT given for my proporty what was taken, contraband on NOT, I.E. A CHENDAR, PHOTOS, PENCILS, EXASTRS, LIVED PHONE RACK, SOMP CARVINGS, CO / DEZ VED, CONTINUES DO MAIN vorpally words we at Evel pill-coll, in AN Export to provoke it and STRESS out A HEMPT-PARTIONT, SOT. Delot convinces to fixed in TRANSING OR SUPERVISING HIS EmployEE COI DOT YOU.

AUGUST 15, ZOUG

PE: RUTE # 64. BUBSTIONS PURSUANT TO ADMIN. REG. # 403 - II D

SOT. HELETT-WERE YOU PRESONT OWEING HOIS SHOKEDOWN? NO

SOT. HELETT. - WAS HARTE DAY CONTRAKTION! \* APQUMENT BOTUTEDU YOU AND IN WATE ADAMS? I wasn't there SOT. HILETT, ARE you sumple of any williams. Conditions of innove ADAMS? NO

SQT. Helett. ARE you responsible for TOW? TRAINING AND SUPERVISION OF COI TOW?

SAT. HELETT - IS COI VEW ALLOWED ANY TYPE of CONTRET WITH INMATE ADAMS?

SQT. HULETT - RURSWART TO APMIN. REC-# 433, IL A. (1), CO 1 YEW MS DECOME WHE AECTUE AND COUNTER. productive to any Rehability tion offorts And/ on yorks, why is he (Corrão) still working Sog règution. NO. OPC Tew does A good 50 AS A Seg Officer

### OUE Tions - Confin - # 64

any of the incidents? During the Shake down

COI FRYSON- YO YOUR ENOULED QUE LAS

THENE DEEN ANY SHUKE CLOUNDS, BY A

1ST SHIFT OFFICETY, SINCE 8-10-2006, ON

B-SIDE SEG? Yes there have been shakedowns

COI FRYSON - PRÉVIOUS PO YHIS PARE, 8-10-06, HAVE YOU AND/ON COI STEL YEU EVER BÉEN ACCUSED & EXCESSIVE FORCE, ASSAULT ON AN INMATE? NO

COI FAY SON. SERE YOU SUMERE OF ANY METOLICAL OR METATOR HETALTH CONDITIONS & IMMATE ADMINS?
HEART Problems

COI-FRYSON- IN SOMEHING WIMSTE MOAMS CELL, AND YEAKING OF PROPERTY, WAS ANY PICKOPT PEQUIPED OR GIVEN? WE don't give receipts of confraband. It is not required.

col fayson - is totope a visble security justinest in confisciting, a contendute, partos, pencils, Exasins, Head phone rack, legal documents, soap convings, pencil sharpinen, artist pastels, a busken plustic ruler?

Rulers and Peneil Sharpners are not

STATEMENT OF YARMS ADAMS - 100012.

PERSONNET OF HAMIN. PEG. 4403, - III E,

III G. H., And III - PROCEDURES OWNING,

HEARING

PISCI PUNMERS 29, 56, 64, COI VEW - 8-10-2004

I AM YMONAS ADAMS, I AM OUTO 21 YÖARS

OLD, CLAPABLE AND ABLE TO WART HAIS

START MENT, PURSUANT TO 25 USC. \$ 1746(2),

UNDER THE PETLACTY OF PETLICRY.

1 was source upon (3) disciplinateirs, on 8-10-2000, by COI ROBORT MCKWINIS AT COLL 5B-16 SEGREGATION UNIT, E.C.F. approx. 1:47 pm.

I was sented a rotice of Post ponement of Disciplinary Hoaping, on 8/23/06, ky Col Joan ivey, at Col SB-16, Segregation wit. E.C.F. which in peterlant pant says, rescueditto for myil tirriter rotice. whis "notice" included all tarte Allegations of # 29, 56, and 64.

ADMINISTRATIVE REQUESTION -# 403, II,

### STATE MENT CONHUEDY

PREVIOUS in petersnow paper. - if whome is A NEED TO POST PONE IR ABSCHEDOLE THE hosping, Form 225 D, rotice of A POST PORTMENT of DISCEPCIONARY NOTAKING (ANNEX D) & HOULD BE COMPLETED, ADDISING THE NOWHER OF ME PESCHEDULED DATE und person for delety."

I mulwordin vout, " unic Ferther NOTICE", IS CONDELLY INSCAPICIONE, AND AS is wHED in;

Grillo v. Coogniin, 31 F.3D. 53, 56 (1994) an inadequise notice, décries out-pacess. EVEN IF WHE PRISONER GOES PER WARD NO THE HOMEING AND TRIES TO PRESENT A déforse. (p. 56)

HEW ITT U. HELMS, 459 U.S. 460, 472 (1983) provides, "A paironer proseed in somin. SEG. MUST DE KOARD WITHIN A PERSONABLE KING" AFTER CONFINEMENT.

A U.S. SUPARNE CORNET CASE

WALLER F. 3D. 1415, (1994)-"WHERE YAE
STATE (D.O.C.), SETS OUT A LIST OF EXPENSES
for which possish wents) may be imposed,
requires notice and a historing, And SETS
out the purish news), it (state) creates
A Cliberty interest in remarkable free
from arbitrary parentiant in disciplinary
sequequation."

forther none, it is my constitution that COI IVEM, A USTERIAN Exicter, prosession full well with his altions, who notice of post ponement, whe wording, victors whis puronous due-process, as is minimized in admin. REC. # 403, and in a greation state, whis pursuities partochines as whe guar antito bey the U.S. Constitutions is the purpose by

MONE OVER, I FORMLY MAINTHIN MANT ONLY
THESE DISCIPLINARY HEARINGS) ARE USE OF DEING WELD ILLEGAL, HANT TO COSTATIONE
TO AN ADVENTE FINDING OF QUILTY IS
TO MAKE DUSTICE A MOCKERY AND SHAM!

### STATE WENT CONTINUED -

MUSTIFY, WHE CONDITIONS WHAT WHIS

PRISONER IS PRESENTLY NEED IN, HUDWING

FULL WELL THE PECENT MEDICAL WOLDENTS,

of WHIS PRISONER, IS TO CLOWLY COMMENT

MARSH, CRUET AND UNUSUAL PUNTSH MOINS.

Whilh further violities was proferious

of the Constitutions 82th Amonomount!

I MAINTHIM YOUTH THE "LOTICE OF POST PONT MENT"

IS USID, LOURLID, NOT SAMETIONED BY THE

A D OC. OR THIS NETURING, WHAT IT IS

FORCELOSS AND NOT CREDIBLE, THE ONLY

PURPOSE TO COMPLICATE AND CONFOUND

THIS HEALTING, SOCELY FOR THE PURPOSE

TO CRETATE A DECLY AND NINDER MEET

TO WAT ODDERLY OPERATION OF THIS

WEST TUTION.

BASICOLLY, Subjecting the Africials, officials, Employees involved to A Civil Cinbility.

DONE THIS ZIFE DRY & AUGUST, ZOOLE

Monas Warms-100GPZ SHOWAS ADAMS-SB-16 SEC. UNIT E.C.F.